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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): HE et al.

Examiner: Karl D. Frech

Serial No.: 09/873,213

Group: Art Unit 2876

Filed: June 5, 2001

Docket: 1400-48(650)

For: ROTATING PLATE CARRIER FOR CHANGING FOCAL  
DISTANCE OF OPTICAL CODE IMAGER

Dated: July 10, 2003

Mail Stop Non-Fee Amendment  
P.O. Box 1450  
Commissioner for Patents  
Alexandria, Virginia 22313-1450

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**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

|  | (Col. 1)                                  |       | (Col. 2)                              |   | (Col. 3)         |                    | SMALL ENTITY | OTHER THAN<br>SMALL ENTITY |
|--|---|-------|---------------------------------------|---|------------------|--------------------|--------------|----------------------------|
|  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR |   | PRESENT<br>EXTRA | ADDIT.<br>RATE FEE | OR           | ADDIT.<br>RATE FEE         |
| TOTAL  | 10  | MINUS | 35                                    | = | X 9              | \$                 | X 18         | \$ 0                       |
| INDEP.   | 4   | MINUS | 8                                     | = | X 42             | \$                 | X 84         | \$ 0                       |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |   |       |                                       |   | X 140            | \$                 | X 280        | \$ 0                       |
|  |   |       |                                       |   | TOTAL            |                    | OR TOTAL     | \$ 0                       |
|  |   |       |                                       |   | ADDIT. FEE       | \$ 0               |              |                            |

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 on July 10, 2003.

Dated: July 10, 2003

*Adrienne Fagan*  
Adrienne Fagan

- ☐ Please charge Deposit Account No. 50-2140 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$0 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-2140. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

  
George Likourezos  
Reg. No. 40,067  
Attorney for Applicant(s)

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PATENT  
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MAIL STOP NON-FEE AMENDMENT

P.O. Box 1450

Commissioner for Patents

Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. §1.111

Sir:

In response to an Office Action of the U.S. Patent and Trademark Office mailed on  
June 10, 2003, please amend the subject application as follows:

IN THE CLAIMS:

Please amend Claims 22, 36 and 41 as follows:

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Amendment and any document referred to as enclosed herein is being deposited  
with the United States Postal Service as first class mail, postpaid in an envelope, addressed to Mail Stop Non-Fee  
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Dated: July 10, 2003

Adrienne Fagan  
(Name of Person Mailing Envelope)

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